



09-21-06

JFW

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/801,906
	Filing Date	March 16, 2004
	First Named Inventor	Melkent et al.
	Art Unit	3731
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	5074A-000010/DVB

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449 citing 111 U.S. Patent Documents, citing and enclosing 18 Foreign Patent Documents, and citing and enclosing 32 Other Documents; and acknowledgment postcard.
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name Richard W. Warner	Reg. No. 38,043
Signature			
Date	Sept. 20, 2006		

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Richard W. Warner	Express Mail Label No.	EV 757 777 958 US (9/20/2006)
Signature		Date	Sept. 20, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 757 777 958 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Application No.: 10/801,906

Filing Date: March 16, 2004

Applicant: Melkent et al.

Group Art Unit: 3731

Examiner:

Title: MULTIPLE CANNULA IMAGE GUIDED TOOL FOR IMAGE
GUIDED PROCEDURES

Attorney Docket: 5074A-000010/DVB

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A. ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated

October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

B. ☐ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. ☐ This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g).)

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. ☒ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B. ☒ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

1. ☒ See the attached foreign patent office communication from a counterpart foreign application:

International Search Report dated 7/5/2001

2. ☐ English translations are provided:

3. ☒ Other:

Japanese Patent No. 61-94639 appears to disclose a head mounting device.

Japanese Patent No. 62-327 appears to disclose scans of a head.

Japanese Patent No. 63-240851 appears to disclose a head holding device.

English abstracts are provided for:

French Patent No. 2 618 211
Japanese Patent No. 3267044
German Patent No. 35 08730
German Patent No. 42 13 426
German Patent No. 197 47 427

C. ☐ The following additional information is provided for the Examiner's consideration.

IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. ☐ The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.
09/795,126

Filing Date
3/1/2001

Inventor(s)
Melkent et al.

V. THIS IDS IS BEING FILED UNDER

A. ☒ **37 C.F.R. § 1.97(b):** (check only one box)

1. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

3. ☒ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

4. ☐ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

B. ☐ **37 C.F.R. § 1.97(c):** (check only one box)

before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

1. ☐ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

2. ☐ See the certification below. No fee is required.

C. ☐ **37 C.F.R. § 1.97(d):**

after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. ☐ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

VI. **CERTIFICATION UNDER 37 C.F.R. § 1.97(e):** (check only one box)

The undersigned hereby certifies that:

A. ☐ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or

B. ☐ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ☐ some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a

counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 C.F.R. 1.704(d)

The undersigned hereby states that:

☐ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box, if applicable)

A. ☐ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ☐ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-identified fee. A duplicate copy of this paper is attached.

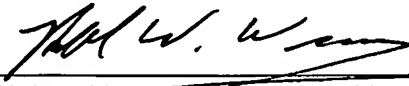
Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

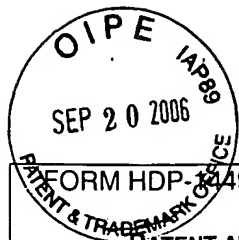
If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Respectfully submitted,

Date: Sept. 20, 2006

By: 
Richard W. Warner, Reg. No. 38,043
Michael L. Taylor, Reg. No. 50,521

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600



FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 1 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		3,109,588	11/1963	Polhemus et al.		
2.		3,614,950	10/1971	Rabey		
3.		3,644,825	02/1972	Davis, Jr. et al.		
4.		3,821,469	06/1974	Whetstone et al.		
5.		3,983,474	09/1976	Kuipers		
6.		4,017,858	04/1977	Kuipers		
7.		4,182,312	01/1980	Mushabac		
8.		4,228,799	10/1980	Anichkov et al.		
9.		4,256,112	03/1981	Kopf et al.		
10.		4,298,874	11/1981	Kuipers		
11.		4,319,136	03/1982	Jenkins		
12.		4,328,548	05/1982	Crow et al.		
13.		4,341,220	07/1982	Perry		
14.		4,346,384	08/1982	Raab		
15.		4,506,676	03/1985	Duska		
16.		4,583,538	04/1986	Onik et al.		
17.		4,617,925	10/1986	Laitinen		
18.		4,638,798	01/1987	Shelden et al.		
19.		4,659,971	04/1987	Suzuki et al.		
20.		4,660,970	04/1987	Ferrano		
21.		4,688,037	08/1987	Krieg		
22.		4,701,049	10/1987	Beckmann et al.		
23.		4,705,395	11/1987	Hageniers		
24.		4,705,401	11/1987	Addleman et al.		
25.		4,709,156	11/1987	Murphy et al.		
26.		4,710,708	12/1987	Rorden et al.		

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 2 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
27.		4,723,544	02/1988	Moore et al.		
28.		4,733,969	03/1988	Case et al.		
29.		Re. 32,619	03/1988	Damadian		
30.		4,737,032	04/1988	Addleman et al.		
31.		4,742,815	05/1988	Ninan et al.		
32.		4,743,770	05/1988	Lee		
33.		4,743,771	05/1988	Sacks et al.		
34.		4,745,290	05/1988	Frankel et al.		
35.		4,753,528	06/1988	Hines et al.		
36.		4,761,072	08/1988	Pryor		
37.		4,764,016	08/1988	Johansson		
38.		4,779,212	10/1988	Levy		
39.		4,782,239	11/1988	Hirose et al.		
40.		4,788,481	11/1988	Niwa		
41.		4,794,262	12/1988	Sato et al.		
42.		4,804,261	02/1989	Kirschen		
43.		4,805,615	02/1989	Carol		
44.		4,821,200	04/1989	Öberg		
45.		4,822,163	04/1989	Schmidt		
46.		4,829,373	05/1989	Leberl et al.		
47.		4,838,265	06/1989	Cosman et al.		
48.		4,825,091	08/1989	Breyer et al.		
49.		4,860,331	08/1989	Williams et al.		
50.		4,869,247	09/1989	Howard, III et al.		
51.		4,875,165	10/1989	Fencil et al.		
52.		4,884,566	12/1989	Mountz et al.		
53.		4,955,891	09/1990	Carol		

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 3 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
54.		4,961,422	10/1990	Marchosky et al.		
55.		5,017,139	05/1991	Mushabac		
56.		5,098,426	03/1992	Sklar et al.		
57.		5,099,846	03/1992	Hardy		
58.		5,143,076	09/1992	Hardy et al.		
59.		5,193,106	03/1993	DeSena		
60.		5,207,688	05/1993	Carol		
61.		5,224,049	06/1993	Mushabac		
62.		5,257,998	11/1993	Ota et al.		
63.		5,285,787	02/1994	Machida		
64.		5,299,253	03/1994	Wessels		
65.		5,300,080	04/1994	Clayman et al.		
66.		5,306,271	04/1994	Zinreich et al.		
67.		5,307,072	04/1994	Jones, Jr.		
68.		5,330,485	07/1994	Clayman et al.		
69.		5,394,875	03/1995	Lewis et al.		
70.		5,457,641	10/1995	Zimmer et al.		
71.		5,469,847	11/1995	Zinreich et al.		
72.		5,480,439	01/1996	Bisek et al.		
73.		5,514,146	05/1996	Lam et al.		
74.		5,566,681	10/1996	Manwaring et al.		
75.		5,568,384	10/1996	Robb et al.		
76.		5,571,109	11/1996	Bertagnoli		
77.		5,611,025	03/1997	Lorensen et al.		
78.		5,622,170	4/1997	Waldean		
79.		5,646,524	07/1997	Gilboa		
80.		5,682,890	11/1997	Kormos et al.		

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 4 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
81.		5,696,500	12/1997	Taylor et al.		
82.		5,772,661	6/1998	Michelson		
83.		5,810,008	09/1998	Dekel et al.		
84.		5,889,834	03/1999	Vilsmeier et al.		
85.		5,947,980	09/1999	Jensen et al.		
86.		5,951,571	09/1999	Audette		
87.		5,957,844	09/1999	Dekel et al.		
88.		6,014,580	01/2000	Blume et al.		
89.		6,071,288	06/2000	Carol et al.		
90.		6,076,008	06/2000	Bucholz		
91.		6,096,050	08/2000	Audette		
92.		6,122,541	09/2000	Cosman et al.		
93.		6,147,480	11/2000	Osadchy et al.		
94.		6,165,181	12/2000	Heilbrun et al.		
95.		6,178,345	01/2001	Vilsmeier et al.		
96.		6,194,639	02/2001	Botella et al.		
97.		6,201,387	03/2001	Govari		
98.		6,203,497	03/2001	Dekel et al.		
99.		6,211,666	04/2001	Acker		
100.		2001/0007918	07/2001	Vilsmeier et al.		
101.		6,259,942	07/2001	Westermann et al.		
102.		6,285,902	09/2001	Kienzle, III et al.		
103.		2002/0095081	07/2002	Vilsmeier		
104.		2004/0024309	02/2004	Ferre et al.		
105.		6,314,310	11/2001	Ben-Haim et al.		
106.		6,348,058	2/2002	Melkent et al.		
107.		6,427,314	08/2002	Acker		

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 5 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
108.		6,478,802	11/2002	Kienzle, III et al.		
109.		6,484,049	11/2002	Seeley et al.		
110.		6,490,475	12/2002	Seeley et al.		
111.		6,516,046	02/2003	Fröhlich et al.		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No	
1.		EP 0755660	1/1997	Europe		Abstract	
2.		EP 0 062 941	03/1982	Europe			
3.		EP 0 119 660	09/1984	Europe			
4.		EP 0 427 358	10/1990	Europe			
5.		EP 0 456 103	05/1991	Europe			
6.		DE 35 08730	03/1985	Germany		Abstract	
7.		DE 42 13 426	04/1992	Germany		Abstract	
8.		DE 4233978	4/1994	Germany		Abstract	
9.		DE 197 47 427	10/1997	Germany		Abstract	
10.		GB 2 094 590	02/1982	Great Britain			
11.		GB 2 164 856	10/1984	Great Britain			
12.		JP 61-94639	10/1984	Japan			No
13.		JP 62-327	06/1985	Japan			
14.		JP 63-240851	03/1987	Japan			
15.		JP 3-267054	03/1990	Japan		Abstract	
16.		FR 2 618 211	07/1987	WIPO		Abstract	
17.		WO 90/05494	11/1989	WIPO			
18.		WO 99/37208	07/1999	WIPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 6 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

Ref. Desig.	Examiner's Initials	
1.		Adams et al., Computer-Assisted Surgery, IEEE Computer Graphics & Applications, pp. 43-51, (May 1990)
2.		Brown, R., M.D., A Stereotactic Head Frame for Use with CT Body Scanners, Investigative Radiology © J.B. Lippincott Company, pp. 300-304 (July-August 1979)
3.		Cutting M.D. et al., Optical Tracking of Bone Fragments During Craniofacial Surgery, Second Annual International Symposium on Medical Robotics and Computer Assisted Surgery, pp. 221-225, (November 1995)
4.		Galloway, R.L., Jr. et al, Optical localization for interactive, image-guided neurosurgery, SPIE, Vol. 2164, pp. 137-145 (undated)
5.		Galloway, R.L., et al., Interactive Image-Guided Neurosurgery, IEEE Trans. on Biomed. Eng., vol. 89, No. 12, pp. 1226-1231 (1992)
6.		Grimson, W.E.L., An Automatic Registration Method for Frameless Stereotaxy, Image Guided Surgery, and enhanced Reality Visualization, IEEE, pp. 430-436 (1994)
7.		Grimson, W.E.L., et al., Virtual-reality technology is giving surgeons the equivalent of x-ray vision helping them to remove tumors more effectively, to minimize surgical wounds and to avoid damaging critical tissues, Sci. Amer., vol. 280, No. 6, pp. 62-69 (Jun. 1999)
8.		Guthrie, B.L., Graphic-Interactive Cranial Surgery: The Operating Arm System, Handbook of Stereotaxy Using the CRW Apparatus, Chapter 13, pp. 193-211 (undated)
9.		Hardy, T., M.D., et al., CASS: A Program for Computer Assisted Stereotaxic Surgery, The Fifth Annual Symposium on Computer Applications in Medical Care, Proceedings, November 1-4, 1981, IEEE, pp. 1116-1126, (1981)
10.		Heilbrun, M.D., Progressive Technology Applications, Neurosurgery for the Third Millenium, Chapter 15, J. Whitaker & Sons, Ltd., Amer. Assoc. of Neurol. Surgeons, pages 191-198 (1992)
11.		Heilbrun, M.P., Computed Tomography – Guided Stereotactic Systems, Clinical Neurosurgery, Chapter 31, pp. 564-581 (1983)
12.		Kall, B., The Impact of Computer and Imaging Technology on Stereotactic Surgery, Proceedings of the Meeting of the American Society for Stereotactic and Functional Neurosurgery, pp. 10-22 (1987)
13.		Kelly, P.J., Computer Assisted Stereotactic Biopsy and Volumetric Resection of Pediatric Brain Tumors, Brain Tumors in Children, Neurologic Clinics, Vol. 9, No. 2, pp. 317-336 (May 1991)
14.		Kelly, P.J., et al., Results of Computed Tomography-based Computer-assisted Stereotactic Resection of Metastatic Intracranial Tumors, Neurosurgery, Vol. 22, No. 1, Part 1, 1988, pp. 7-17 (January 1988)
15.		Kelly, P.J., Computer-Directed Stereotactic Resection of Brain Tumors, Neurologica Operative Atlas, Vol. 1, No. 4, pp. 299-313 (1991)

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 7 of 8	ATTORNEY DOCKET NO.	SERIAL NO.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
16.		Kelly, P.J., Stereotactic Imaging, Surgical Planning and Computer-Assisted Resection of Intracranial Lesions: Methods and Results, Advances and Technical Standards in Neurosurgery, Vol. 17, pp. 78-118, (1990)
17.		Kim, W.S. et al., A Helmet Mounted Display for Telerobotics, IEEE, pp. 543-547 (1988)
18.		Kosugi, Y., et al., An Articulated Neurosurgical Navigation System Using MRI and CT Images, IEEE Trans. on Biomed. Eng. vol. 35, No. 2, pp.147-152 (Feb. 1988)
19.		Kwoh, Y.S., Ph.D., et al., A New Computerized Tomographic-Aided Robotic Stereotaxis System, Robotics Age, Vol.7, No. 6, pp. 17-22 (June 1985)
20.		Lavallee, S., et al., Computer Assisted Knee Anterior Cruciate Ligament Reconstruction First Clinical Tests, Proceedings of the First International Symposium on Medical Robotics and Computer Assisted Surgery, pp. 11-16 (September 1994)
21.		Maurer, Jr., et al., Registration of Head CT Images to Physical Space Using a Weighted Combination of Points and Surfaces, IEEE Trans. on Med. Imaging, Vol. 17, No. 5, pp. 753-761 (October 1998)
22.		McGirr, S., M.D., et al., Stereotactic Resection of Juvenile Pilocytic Astrocytomas of the Thalamus and Basal Ganglia, Neurosurgery, Vol. 20, No. 3, pp. 447-452, (1987)
23.		Ng, W.S. et al., Robotic Surgery - A First-Hand Experience in Transurethral Resection of the Prostate Surgery, IEEE Eng. in Med. and Biology, pp. 120-125 (March 1993)
24.		Reinhardt, H., et al., A Computer-Assisted Device for Intraoperative CT-Correlated Localization of Brain Tumors, pp. 51-58 (1988)
25.		Reinhardt, Hans. F., Neuronavigation: A Ten-Year Review, Neurosurgery, pp.329-341 (undated)
26.		Simon, D.A., Accuracy Validation in Image-Guided Orthopaedic Surgery, Second Annual Intl. Symp. on Med. Rob. an Comp-Assisted surgery, MRCAS '95, pp. 185-192 (undated)
27.		Tan, K., Ph.D., et al., A frameless stereotactic approach to neurosurgical planning based on retrospective patient-image registration, J Neurosurgery, Vol. 79, pp. 296-303 (August 1993)
28.		Thompson, et al., A System for Anatomical and Functional Mapping of the Human Thalamus, Computers and Biomedical Research, Vol. 10, pp. 9-24 (1977)
29.		Trobraugh, J.W., et al., Frameless Stereotactic Ultrasonography: Method and Applications, Computerized Medical Imaging and Graphics, Vol. 18, No. 4, pp. 235-246 (1994)
30.		Von Hanwehr et al., Foreword, Computerized Medical Imaging and Graphics, Vol. 18, No. 4, pp. 225-228, (July-August 1994)

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 8 of 8	ATTORNEY DOCKET No.	SERIAL No.
	5074A-000010/DVB	10/801,906
	APPLICANT	
	Melkent et al.	
	FILING DATE	GROUP
	March 16, 2004	3731

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
31.		Wang, M.Y., et al., An Automatic Technique for Finding and Localizing Externally Attached Markers in CT and MR Volume Images of the Head, IEEE Trans. on Biomed. Eng., Vol. 43, No. 6, pp. 627-637 (June 1996)
32.		Watanabe, E., M.D., et al., Open Surgery Assisted by the Neuronavigator, a Stereotactic, Articulated, Sensitive Arm, Neurosurgery, Vol. 28, No. 6, pp. 792-800 (1991)

Examiner:	Date Considered:
-----------	------------------

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.